



Attorney Docket No. 4995-0005-02000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#17  
S66-13

In re Patent No. 5,693,326

Issued: December 2, 1997

From: Application Serial No. 08/456,694

Filed: June 1, 1995

For: Producing Immunogenic Constructs  
Using Soluble Carbohydrates  
Activated Via Organic Cyanylating  
Reagents

Group Art Unit: 1817

Supervisory Patent Examiner  
Donald E. Adams, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

RECEIVED

JUN 18 1998

GROUP 1800

**Petition to Correct Inventorship Under 37 C.F.R. § 1.324**

This petition requests the Commissioner to issue a certificate naming Andrew Lees, Clifford M. Snapper, and James J. Mond as co-inventors in the above referenced patent.

Andrew Lees is currently named as the sole inventor. Clifford M. Snapper and James J. Mond are actual inventors with respect to the lipoprotein-containing conjugates of claims 11 and 18 and were omitted through error and without deceptive intent.

This petition is accompanied by the petition fee of \$130 set forth in 37 C.F.R. § 1.20(b), the statements of inventors Andrew Lees, Clifford M. Snapper, and James J. Mond, and assignee, Henry M. Jackson Foundation for the Advancement of Military Medicine.

To the extent any fees due in connection with the filing of this petition are not enclosed, please charge those fees to our Deposit Account No. 06-916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

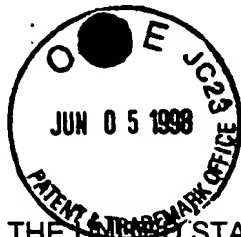
By: Jean B. Fordis  
Jean B. Fordis  
Reg. No. 32,984

Dated: June 5, 1998

06/11/1998 MCAERO

03 FC:122

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Attorney Docket No. 4995-0005-02000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No. 5,693,326 )

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For: Producing Immunogenic Constructs )  
Using Soluble Carbohydrates )  
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Reagents )

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**Statement of Inventor Mond Pursuant to  
Petition to Correct Inventorship Under 37 C.F.R. § 1.324(b)(1)**

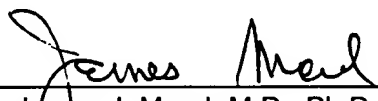
I, James J. Mond, together with Andrew Lees and Clifford M. Snapper, am an actual inventor with respect to the lipoprotein-containing conjugates of claims 11 and 18 in the above referenced patent. My name was omitted from the issued patent through error and without deceptive intent.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000

By: \_\_\_\_\_

  
James J. Mond, M.D., Ph.D.

Dated: April \_\_, 1998



Attorney Docket No. 4995-0005-02000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Reagents

Group Art Unit: 1817

Supervisory Patent Examiner  
Donald E. Adams, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**Statement of Inventor Snapper Pursuant to  
Petition to Correct Inventorship Under 37 C.F.R. § 1.324(b)(1)**

I, Clifford M. Snapper, together with Andrew Lees and James J. Mond, am an actual inventor with respect to the lipoprotein-containing conjugates of claims 11 and 18 in the above referenced patent. My name was omitted from the issued patent through error and without deceptive intent.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

By:

  
Clifford M. Snapper, M.D.

Dated: April 24, 1998

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, D.C. 20005  
202-408-4000



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Activated Via Organic Cyanylating  
Reagents

Group Art Unit: 1817

Supervisory Patent Examiner  
Donald E. Adams, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**Statement of Inventor Lees Pursuant to  
Petition to Correct Inventorship Under 37 C.F.R. § 1.324(b)(2)**

I, Andrew Lees, agree that Clifford M. Snapper and James J. Mond are coinventors, with myself, with respect to their contribution to the lipoprotein-containing conjugates of claims 11 and 18 of the above referenced patent. I agree to the change in inventorship adding Clifford M. Snapper and James J. Mond as inventors on this patent.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

By:

  
Andrew Lees, Ph.D.

Dated: April 30, 1998

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, D.C. 20005  
202-408-4000



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In re Patent No. 5,693,326 )

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Filed: June 1, 1995 )

Supervisory Patent Examiner

Donald E. Adams, Ph.D.

For: Producing Immunogenic Constructs )

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Activated Via Organic Cyanylating )

Reagents )

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Assistant Commissioner for Patents  
Washington, D.C. 20231

JUN 15 1998

MATRIX CUSTOMER  
SERVICE CENTER

Sir:

**Statement of Assignee Pursuant to  
Petition to Correct Inventorship Under 37 C.F.R. § 1.324**

Henry M. Jackson Foundation for the Advancement of Military Medicine

(Assignee), whose post office address is 1401 Rockville Pike, Suite 600,

Rockville, Maryland, 20852, represents that it is the only Assignee of the entire

right, title, and interest in and to the above-identified Patent No. 5,693,326 for

Producing Immunogenic Constructs Using Soluble Carbohydrates Activated via

Organic Cyanylating Reagents, in the name of Andrew Lees, as indicated by the

Assignment filed for recordation in the United States Patent and Trademark

Office on April 11, 1997 (Copy attached.)

## LAW OFFICES

MEGAN, HENDERSON,  
WRABOW, CARRETT,  
& DUNNEN, L.L.P.  
300 Z STREET, N.W.  
WASHINGTON, DC 20005  
202-408-4000

In re Patent No. 5,693,326  
Attorney Docket No. 4995-0005-02000

The undersigned (whose title is supplied below) is authorized to sign this statement on behalf of the assignee.

Assignee agrees that Clifford M. Snapper and James J. Mond are coinventors of the above referenced patent, with respect to their contribution to the lipoprotein-containing conjugates of claims 11 and 18. Assignee agrees to the change in inventorship adding Clifford M. Snapper and James J. Mond as inventors on this patent.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Henry M. Jackson Foundation for the  
Advancement of Military Medicine  
1401 Rockville Pike, Suite 600  
Rockville, Maryland 20852

By: 

John W. Lowe  
President

Dated: June 4, 1998

LAW OFFICES  
INNEGAN, HENDERSON,  
FARABOW, GARRETT,  
B DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, DC 20005  
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Attorney Docket No. 4995-0005-02000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Donald E. Adams, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

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This petition requests the Commissioner to issue a certificate naming Andrew Lees, Clifford M. Snapper, and James J. Mond as co-inventors in the above referenced patent.

Andrew Lees is currently named as the sole inventor. Clifford M. Snapper and James J. Mond are actual inventors with respect to the lipoprotein-containing conjugates of claims 11 and 18 and were omitted through error and without deceptive intent.

This petition is accompanied by the petition fee of \$130 set forth in 37 C.F.R. § 1.20(b), the statements of inventors Andrew Lees, Clifford M. Snapper, and James J. Mond, and assignee, Henry M. Jackson Foundation for the Advancement of Military Medicine.

To the extent any fees due in connection with the filing of this petition are not enclosed, please charge those fees to our Deposit Account No. 06-916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: Jean B. Fordis  
Jean B. Fordis  
Reg. No. 32,984

Dated: June 5, 1998

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
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WASHINGTON, DC 20005  
202-408-4000

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Donald E. Adams, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

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JUN - 15 1998

MS. 1000

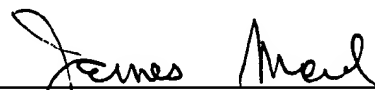
**Statement of Inventor Mond Pursuant to  
Petition to Correct Inventorship Under 37 C.F.R. § 1.324(b)(1)**

I, James J. Mond, together with Andrew Lees and Clifford M. Snapper, am an actual inventor with respect to the lipoprotein-containing conjugates of claims 11 and 18 in the above referenced patent. My name was omitted from the issued patent through error and without deceptive intent.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

By:

  
James J. Mond, M.D., Ph.D.

Dated: April \_\_, 1998

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, DC 20005  
202-408-4000





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Group Art Unit: 1817

Supervisory Patent Examiner  
Donald E. Adams, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**Statement of Inventor Snapper Pursuant to  
Petition to Correct Inventorship Under 37 C.F.R. § 1.324(b)(1)**

I, Clifford M. Snapper, together with Andrew Lees and James J. Mond, am an actual inventor with respect to the lipoprotein-containing conjugates of claims 11 and 18 in the above referenced patent. My name was omitted from the issued patent through error and without deceptive intent.

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Respectfully submitted,

By:

*Clifford M. Snapper*  
Clifford M. Snapper, M.D.

Dated: April 24, 1998

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, D. C. 20005  
202-408-4000



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Group Art Unit: 1817

Supervisory Patent Examiner  
Donald E. Adams, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**Statement of Inventor Lees Pursuant to  
Petition to Correct Inventorship Under 37 C.F.R. § 1.324(b)(2)**

I, Andrew Lees, agree that Clifford M. Snapper and James J. Mond are coinventors, with myself, with respect to their contribution to the lipoprotein-containing conjugates of claims 11 and 18 of the above referenced patent. I agree to the change in inventorship adding Clifford M. Snapper and James J. Mond as inventors on this patent.

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Respectfully submitted,

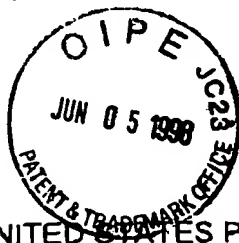
By:

  
Andrew Lees, Ph.D.

Dated: April 30, 1998

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, D. C. 20005  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

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Henry M. Jackson Foundation for the Advancement of Military Medicine  
(Assignee), whose post office address is 1401 Rockville Pike, Suite 600,  
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LAW OFFICES

NEGAN, HENDERSON,  
VRABOW, CARRETT,  
& DUNNER, L.L.P.  
100 I STREET, N.W.  
WASHINGTON, DC 20005  
202-408-4000

JUN 03 '98 15:47 FR FINNEG

ENDERSON

202 408 4400 TO 301422

P.04

In re Patent No. 5,693,326  
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Henry M. Jackson Foundation for the  
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1401 Rockville Pike, Suite 600  
Rockville, Maryland 20852

By: 

John W. Lowe  
President

Dated: June 4, 1998

LAW OFFICES  
INNEGAN, HENDERSON,  
FARABOW, GARRETT,  
B. DUNN & L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, DC 20005  
202-462-4000